

















STABILITY OPERATIONS - BRIGADE (BDE-FC-SO) Focus:

Establish Civil Security (Brigade) (71-FC-6509)
PKSOI



Overall classification of this brief:

UNCLASSIFIED



References

- JP 3-0 Operation JAN 2017
- ADP 3.0 Operations OCT 2016
- ADRP 3.0 Operations NOV 2016
- Joint Publication 3-07 Stability 03 AUG 2016
- ADP 3-07 Stability AUG 2012
- ADRP 3-07 Stability AUG 2012
- FM 3-07 Stability JUN 2014
- ATP 3-07.5 Stability Techniques AUG 2012
- FM 3-96 Brigade Combat Team OCT 2015
- Guiding Principles for Stabilization and Reconstruction, US Institute of Peace and PKSOI, 2009
- Functional CATS: FUNCTION: STABILITY OPERATIONS BRIGADE (BDE-FC-SO)
 - Establish Civil Security (Brigade) (71-FC-6509)
- Unit CATS: Establish Civil Security (BCT) (71-TS-6213)
 - ARMOR: ARMORED BRIGADE COMBAT TEAM (ABCT) (87310K000)
 - HQ & HQ CO, STRYKER BDE CMBT TEAM (47112R000)
 - INFANTRY: HQ, INF BDE CMBT TEAM (77302R500)









AGENDA

Doctrine: Stability in Decisive Action

Historical Vignette: Iraq 2003

Doctrine: Stability

Doctrine: What is the BCT Role?









The conduct of joint operations...

Unified Action

The synchronization, coordination, and/or integration of the activities of governmental and nongovernmental entities with military operations to achieve unity of effort (JP 1).

Operational Environment

human context land operations PMESII-PT METT-TC

> To cope within the operational environment, Army forces conduct

The Army's contribution to joint operations...

Unified Land Operations

(The Army Operational Concept)

Simultaneous offensive, defensive, and stability or defense support of civil authorities tasks to seize, retain, and exploit the initiative and consolidate gains to prevent conflict, shape the operational environment, and win our nation's wars as part of unified action.

Executed through...

Decisive Action

Offensive Defensive Stability DSC A (Tasks)

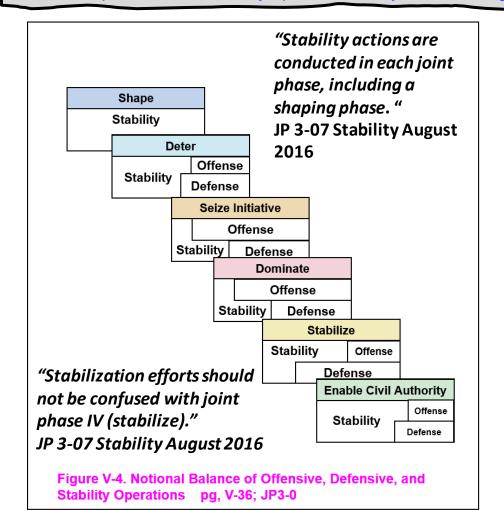
Guided by...

Mission Command (Philosophy)

Excerpt from: Introductory figure. ADRP 3-0 unified logic chart, pg vi, 11 November 2016

The Balance of Offense, Defense, and Stability Operations (JP 3-0, chap. V, pgs V 35-37, para. 11)

a. Combat missions and tasks can vary widely depending on the context of the operation and the objective. *Most combat operations will require the commander to balance offensive, defensive, and stability operations.* This is particularly evident in a campaign or operation, where combat occurs during several phases and *stability operations may occur throughout the campaign or operation.* pg V-35



d. Balance and Simultaneity (3) Planning for the transition from sustained combat operations to the termination of joint operations, and then a complete handover to civil authority, *must commence* during development and be ongoing during all phases of a campaign or major operation.... Even while sustained combat operations are ongoing, it is necessary to establish or restore security and control and provide humanitarian relief as succeeding areas are occupied, bypassed, or transitioned to civilian control.

pg V-37

3-2 *Decisive Action* is the continuous, simultaneous combinations of offensive, defensive, and stability or defense support of civil authorities tasks.

ADRP 3-0, pg 3-1,11 November 2016

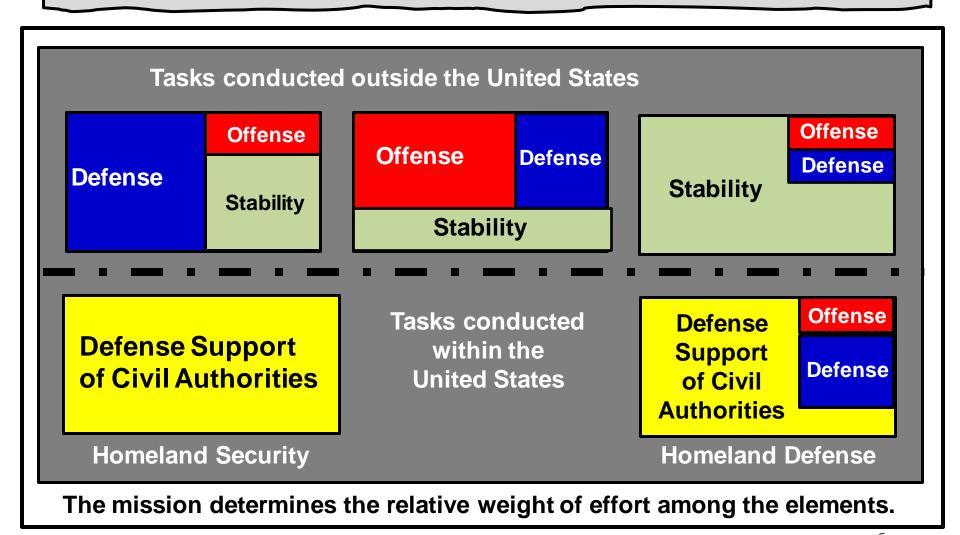
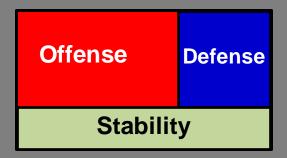


Figure 3-1, Decisive Action, ADRP 3-0, Operations, pg 3-3, 11 November 2016

Tasks conducted outside the United States







The mission determines the relative weight of effort among the elements.

Defensive Tasks:

- Area Defense
- Mobile Defense
- Retrograde Operations
 - Delay
 - Withdrawal
 - Retirement

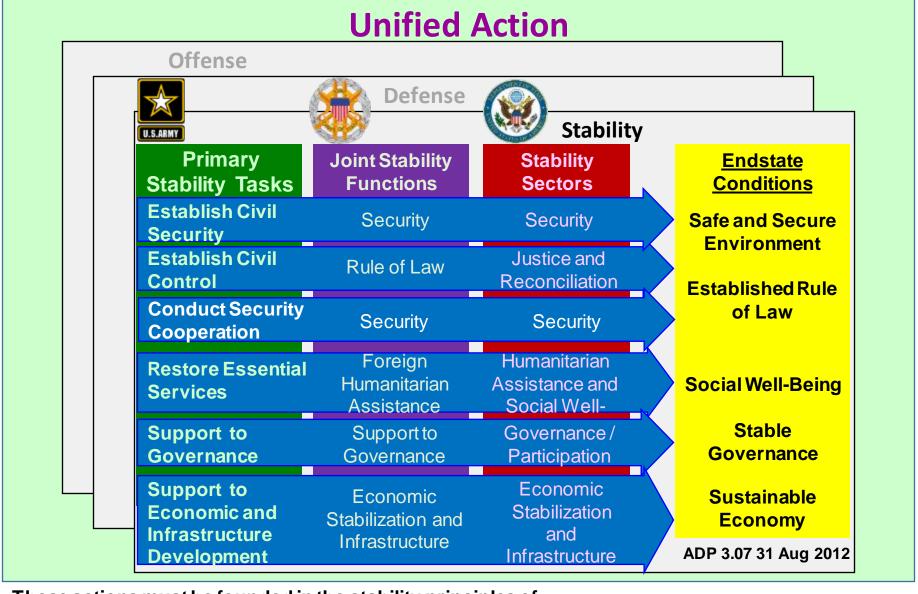
Offensive Tasks:

- Movement to Contact
 - Search & Attack
 - Cordon & Search
- Attack
 - Ambush
 - Counterattack
 - Demonstration
 - Spoiling Attack
 - Feint
 - Raid
- Exploitation
- > Pursuit

Stability Tasks:

- Establish Civil Security
- Establish Civil Control
- Restore Essential Services
- > Support to Governance
- Support to Economic & Infrastructure Development
- Conduct SecurityCooperation

The Army conducts the <u>primary stability tasks</u> integrated into the <u>joint stability</u> <u>functions</u> and the <u>USG stability sectors</u> to achieve the <u>endstate conditions</u>...



These actions must be founded in the stability principles of ...

Conflict transformation

Unity of effort

Legitimacy and Building partner host-nation capacity ownership ADP 3.07 31 Aug 2012





The Problem

Describe what stability tasks a Maneuver BCT needs to perform in the immediate aftermath of conflict in order to establish and maintain security, public order, and public safety.

OR

"The tanks are on the palace grounds and we have pulled down the statue."







Establishing Civil Security in Iraq 28 Feb 2003: The Advice (and the pushback)





US Military Conflicts by Type



296 Years

"Total Wars"

US survival or way of life at stake:

- American Revolution 1775-1781
- Civil War 1861-1865
- World War I 1917-1918
- World War II 1941-1945

17 Years

"Limited Wars"

Survival not at stake; limits to objective and scope:

- War of 1812 1812-1815
- Mexican War 1846-1847
- Spanish American War 1898
- Korean War 1950-1953
- Vietnam War 1962-1973
- Gulf War 1990-1991
- OEF (Initial Ops) 2001
- OIF (Initial Ops) 2003

22 Years

"Small Wars"

Interventions and Stability Operations:

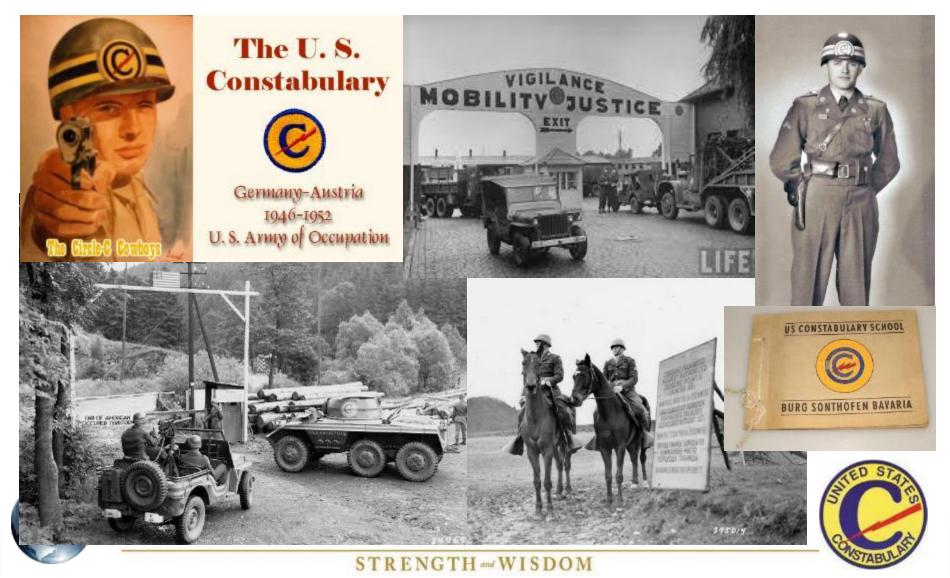
- Indian Wars 1790-1891
- Civil War Reconstruction 1865-1877
- Cuban Occupation 1898-1909
- Puerto Rican Occupation 1898-1900
- Philippine Insurrection 1899-1913
- Boxer Rebellion/China Relief Expedition 1900
- Nicaragua 1912, 1927-1933
- Veracruz expedition 1914
- Haiti 1915-1934
- Mexican Border Expedition 1916-1917
- Dominican Republic 1916-1924
- Siberian Expedition 1918-1922
- Post-WWII occupation Germany and Japan 1945-1952
- Lebanon 1958
- Dominican Republic 1965-1966,
- Sinai MFO 1982-Present
- Lebanon 1982-1984
- Grenada 1983
- Panama 1989-1990
- Gulf War Cease-Fire/Provide Comfort 1991-1995
- Somalia Operation Restore Hope 1992-1995
- Haiti Operation Uphold Democracy 1994-1995
- Bosnia Operation Joint Endeavor 1995-2004
- Kosovo KFOR 1999-2013
- Afghanistan: OEF/OFS (Stability Ops) 2001-present
- Iraq: OIF/OND/OIR (Stability Ops) 2003-present

PKSO

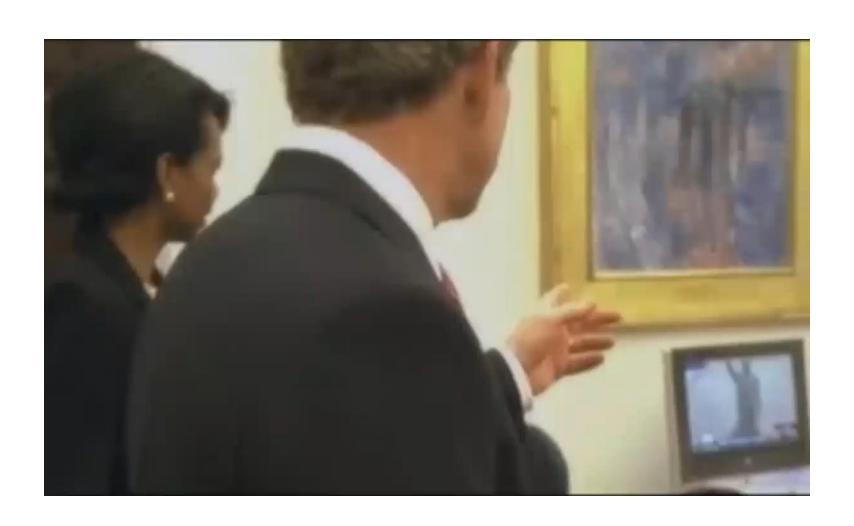




The Military Does Police: US Constabulary, Germany, 1946-1952



Iraq 10 April 2003: Baghdad Falls; Beginning of the Breakdown of Law and Order





Tolerating Lawlessness

The "Broken Windows" Theory



"Consider a building with a few broken windows. If the windows are not repaired, the tendency is for vandals to break a few more windows. Eventually, they may even break into the building, and if it's unoccupied, perhaps become squatters or light fires inside."

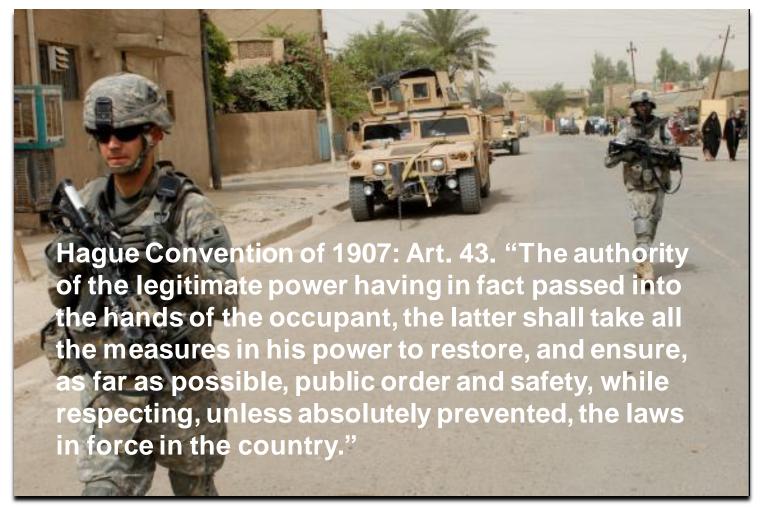
James Q. Wilson and George L. Kelling *Broken Windows*, March 1982 The Atlantic Monthly







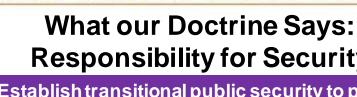
What the Law Says: Responsibilities of an Occupying Power







Responsibility for Security





"Establish transitional public security to promote, restore, and maintain public order. Transitional public security by US and multinational/international organization forces in major operations and campaigns involving a large US military presence complements public security. The purpose of transitional public security is to protect civilian populations when the rule of law has broken down or is non-existent." JP 3-07 Stability August 2016

"Land operations affect civilians by disrupting routine life patterns and potentially placing civilians in harm's way. Army forces must plan to conduct minimum-essential stability tasks (providing security, food, water, shelter, and medical treatment) as an integral part of land combat." **ADP 3.0 Operations November 2016**





"Establishing civil security involves providing for the safety of the host nation and its population, including protection from internal and external threats. Establishing civil security provides needed space for host-nation and civil agencies and organizations to work toward sustained peace."

ADP 3-07 Stability August 2012

Iraq 18 April 2003: Failure to Establish Civil Security Impacts Reconstruction, Public Safety and US Standing.



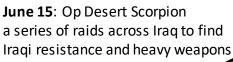


Iraq Spring/Summer 2003

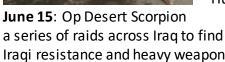
Actors: Partners, Threats, Others

- Former Regime Loyalists
- SCIRI/BADR Corps
- Tribal Sheiks
- Muqtada al-Sadr
- Criminals





May 16: Bremer issues CPA



Order #1 De-Ba'athification.



over shortages July 22: Uday and Qusay Hussein, are killed



August 19: Truck bomb at UN Baghdad HQ kills top UN envoy and 21 others.



Aug 7: Large car bomb explodes at Jordanian Embassy in Baghdad 17 Killed. First terrorist-style car bombing in Iraq.

July 13: The Iraqi Governing Council is established under the CPA

May 23 - Bremer issues CPA Order Number 2, dissolves Iragi Army

and other former state entities

May 12: Bremer arrives in Iraq; CPA established.

April 24: Jay Garner informed Paul Bremer and CPA will replace him

April 18: Jay Garner and ORHA staff arrive In Baghdad

April 10: Fall of Baghdad

May 1: President Bush declares major

combat operations in Iraq over.

March 20: The United States begins the invasion of Iraq



Actors: Partners, Threats, Others

- Expat Iraqi Nationalists
- Kurdish Nationalists
- Foreign Jihadists
- Economic Opportunists



Looting escalates across Iraq

TIME





3rd Infantry Division OIF I AAR

Full Spectrum Operations – Maneuver
Transition to Stability and Support Operations: Lessons Learned

- We must be ready for rapid success. Follow-on SASO plans must be developed in advance and the necessary resources readily available for commitment.
- Provide the resources to the brigade combat team (BCT) commanders early to allow them to conduct full spectrum operations including SASO.
- Plan to conduct SASO concurrently with combat operations or immediately after the completion of combat operations.
- During continuous operations on a nonlinear battlefield, units must expect and prepare to be in contact throughout the depth of their battlespace."



3rd Infantry Division (Mechanized) After Action Report July 2003





3rd Infantry Division OIF I AAR

Staff Judge Advocate (SJA)

Topic D - Stability and Support Operations (SASO)

"Because of the refusal to acknowledge occupier status, commanders did not initially take measures such as imposing curfews, directing civilians to return to work, and controlling the local governments and populace. This failure to act after we displaced the regime created a power vacuum, which others immediately tried to fill"

3rd Infantry Division (Mechanized) After Action Report July 2003









Doctrine: What is Stability?



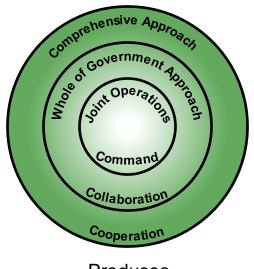
Stability – Stabilization – Stability Tasks

Stability can be described as the overarching characterization of the effects created by activities of the United States Government (USG) outside the US using one or more of the instruments of national power to minimize, if not eliminate, economic and political instability and other drivers of violent conflict across one or more of the five USG stability sectors (i.e., security, justice and reconciliation, humanitarian assistance and social well-being, governance and participation, and economic stabilization and infrastructure).

Offensive tasks Defensive tasks Stability tasks

Using The Stability Principle of Unity of Effort

Stabilization is the process by which military and nonmilitary actors collectively apply various instruments of national power to address drivers of conflict, foster host-nation resiliencies, and create conditions that enable sustainable peace and security.



Produces

Unified Action

Stability tasks are conducted as part of operations outside the United States in coordination with other instruments of national power to maintain or reestablish a safe and secure environment, provide essential governmental services, emergency infrastructure reconstruction, and humanitarian relief.

The Army conducts the <u>primary stability tasks</u> integrated into the <u>joint stability functions</u> and the <u>USG stability sectors</u> to achieve the <u>endstate conditions</u>...

Desired End State for Stability Operations



RULE OF LAW

- Just legal frameworks
- Public order
- Accountability to the law
- Access to justice
- Culture of lawfulness

SAFE AND SECURE ENVIRONMENT

- Cessation of large-scale violence
- Public order
- Legitimate state monopoly over the means of violence
- · Physical security
- Territorial security



SOCIAL WELL-BEING

- Access to and delivery of basic services
- Access to and delivery of education
- Return and resettlement of refugees and internally displaced persons
- Social reconstruction



CROSS-CUTTING PRINCIPLES

Host nation ownership and capacity
Political primacy
Legitimacy
Unity of effort
Security
Conflict transformation
Regional engagement

STABLE GOVERNANCE

- Provision of essential services
- Stewardship of state resources
- Political moderation and accountability
- Civic participation and empowerment



SUSTAINABLE ECONOMY

- Macroeconomic stabilization
- Control over the illicit economy and economic-based threats to peace
- Market economy sustainability
- Employment generation





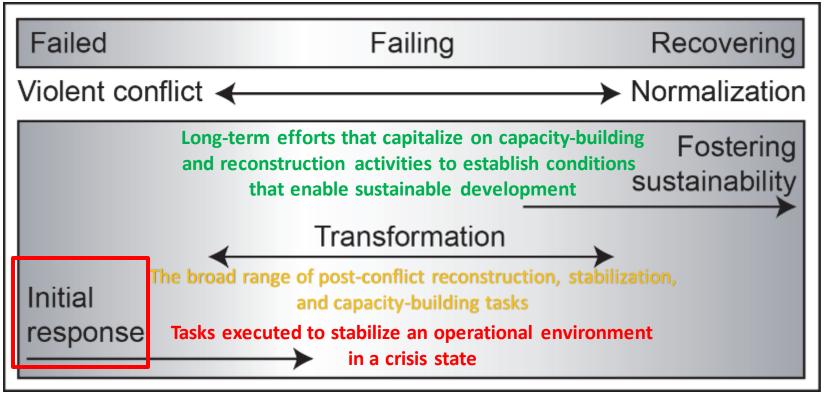






Stability Framework

Military forces can engage at any point along this spectrum.



ADRP 3-07 August 2013 P 1-13

Military operations focus on stabilizing the environment and transforming conditions of the environment and the state toward normalization.







Stability Tasks and Purpose

Tasks:

- Establish civil security
- Establish civil control
- Restore essential services
- Support to Governance
- Support to economic and infrastructure development
- Conduct security cooperation

Purposes:

- Provide a secure environment
- Secure land areas
- Meet the critical needs of the population
- Gain the support for the host-nation government
- Shape the environment for interagency and hostnation success
- Promote security, build partner capacity, and provide access
- Refine intelligence

3-15....Commanders are *legally required to provide the minimum essential stability tasks* when controlling an area of operations where populations exist. These essential services provide for minimal levels of security, food, water, shelter, and medical treatment. *ADRP 3-0, pg 3-4 ,11 November 2016*





The Overlap of Civil Security and Civil Control Subordinate Tasks

Establish Civil Security

- Enforce cessation of hostilities, peace agreements, and other arrangements
- Determine disposition and composition of national armed and intelligence services
- Conduct disarmament, demobilization, and reintegration
- Conduct border control, boundary security, and freedom of movement
- Support identification
- Protect key personnel and facilities
- Clear explosive and other hazards

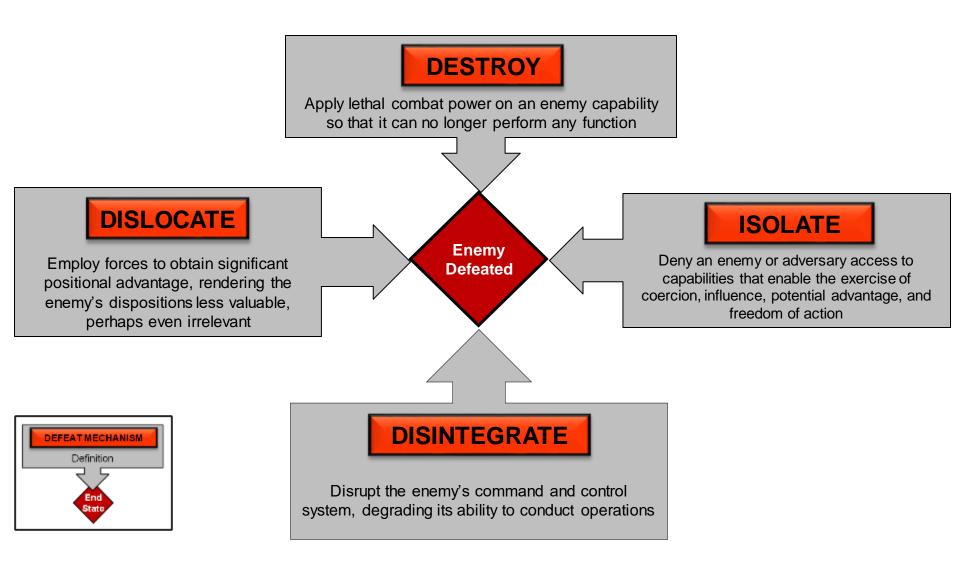
Establish Civil Control

- Establish public order and safety.
- Establish interim criminal justice system.
- Support law enforcement and police reform.
- · Support judicial reform.
- Support property dispute resolution process.
- Support justice system reform.
- Support corrections reform.
- Support war crimes courts and tribunals.
- Support public outreach and community rebuilding programs.

ATP 3-07.5 Stability Techniques Aug 2012



Defeat Mechanisms



Defeat mechanisms are the primary methods through which friendly forces accomplish their mission against enemy opposition.

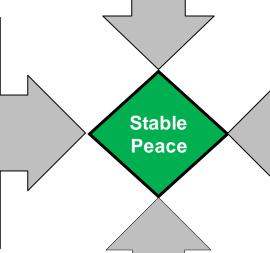
Stability Mechanisms

COMPEL

Maintaining the threat or actual use of lethal force to establish control and dominance; effect behavioral change; and enforce compliance with mandates, agreements, or civil authority

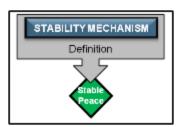
SUPPORT

Establish, reinforce, or set the conditions necessary for the other instruments of national power, multinational and international organizations, and the host nation to function effectively



CONTROL

Establish public order and safety; secure borders, routes, sensitive sites, population centers and individuals; physically occupy key terrain and facilities



INFLUENCE

Alter the opinions and attitudes of the hostnation population through inform and influence activities, presence, and conduct

Stability mechanisms are the primary methods through which friendly forces affect civilians in order to attain conditions that support establishing a lasting, stable peace.





What is the Brigade Combat Team's Role?

Establish Civil Security (Brigade) (71-FC-6509)







Transition to a Focus on Stability Tasks

"A transition to stability-centric operations occurs for several reasons. A transition may occur from an operation dominated by combined arms maneuver to one dominated by wide area security. Transitions also occur with the delivery of essential services or retention of infrastructure needed for reconstruction. An unexpected change in conditions may require commanders to direct an abrupt transition between phases. In such cases, the overall composition of the force remains unchanged despite sudden changes in mission, task organization, and rules of engagement. Typically, task organization evolves to meet changing conditions; however, transition planning must account for changes in mission, also. Commanders continuously assess the situation and task-organize and cycle their forces to retain the initiative. Commanders strive to achieve changes in emphasis without incurring an operational pause."

FM 3-96 2015 Brigade Combat Team







Stability Tasks: The Maneuver BCT Provides Security – Unity of Effort

"Military formations conduct operations focused on stability to transition the security and governance of populations to legitimate civilian authorities. The BCT lacks the organic capability to stabilize an assigned area of operation independently".

INITIAL RESPONSE PHASE: "Initial response actions generally reflect activity executed to stabilize a crisis state in the area of operations. The BCT typically performs initial response actions during, or directly after, a conflict or disaster in which the security situation prohibits the introduction of civilian personnel."



"Initial response actions aim to provide a secure environment that allows relief forces to attend to the immediate humanitarian needs of the local population. They reduce the level of violence and human suffering while creating conditions that enable other actors to participate safely in relief efforts."

The BCT's central role in operations focused on stability is to establish and maintain unity of effort towards achieving the political objectives of the operation... Essentially, the BCT unifies governmental, nongovernmental, and elements of the private sector activities with military operations to seize, retain, and exploit the initiative.





FM 3-96 2015 Brigade Combat Team





The First Step: Consolidate Gains

• Unified Land Operations (The Army Operational Concept): Simultaneous offensive, defensive, and stability or defense support of civil authorities tasks to seize, retain, and exploit the initiative and consolidate gains to prevent conflict, shape the operational environment, and win our nation's wars as part of unified action. (ADRP 3-0)

DEFINITION - Consolidate Gains:

The activities to make permanent any temporary operational success and set the conditions for a sustainable stable environment allowing for a transition of control to legitimate civil authorities. (ADRP 3-0)



CONSOLIDATE GAINS

"Consolidate gains is an integral part of winning armed conflict and achieving success across the range of military operations; it is essential to retaining the initiative over determined enemies and adversaries. To consolidate gains, Army forces reinforce and integrate the efforts of all unified action partners."

To consolidate gains, Army forces take specific actions. These actions include—

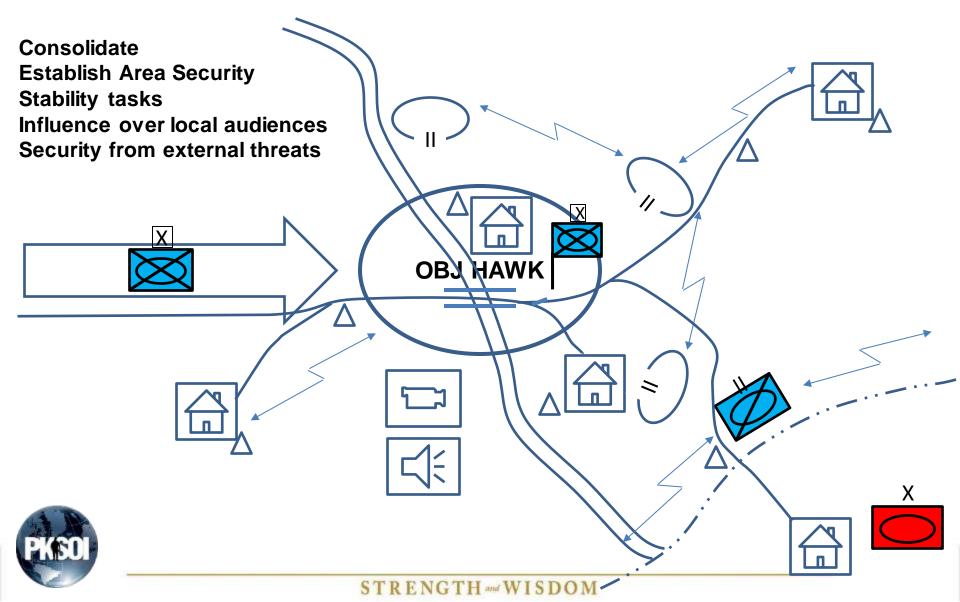
- Consolidation: Forces organize and strengthen their newly occupied positions so that they
 can be used for subsequent operations.
- Area security: Forces conduct security tasks to protect friendly forces, installation routes, critical infrastructure, populations, and actions within an assigned area of operations.
- Stability tasks: Forces first conduct minimum-essential stability tasks, then maintain or reestablish a safe and secure environment and provide essential governmental services, emergency infrastructure reconstruction, and humanitarian relief.
- Influence over local and regional audiences (when authorized): Commanders ensure that supporting and credible narratives are developed and communicated to the intended population to assist them in understanding the overall goal of military actions and the benefits of those actions for the population.
 - Security from external threats: Commanders use forward presence in an area of operations to allow a transition in operations to occur without disruption from nascent threats.

ADRP 3.0 Operations Nov 2016





Consolidating Gains







Establish Civil Security: End State

- Civil security requires five necessary conditions:
 - Cessation of large-scale violence: Large-scale armed conflict has come to a halt.

– Public order:

- · Laws enforced equitably.
- Lives, property, freedoms, and rights of individuals protected.
- Criminal and politically motivated violence reduced to a minimum.
- Criminal elements pursued, arrested, and detained.

Legitimate state monopoly over the means of violence:

- Major illegal armed groups identified, disarmed, and demobilized.
- Security forces vetted and retrained.

– Physical protection:

- Political leaders, ex-combatants, and general population free from threats to physical safety.
- Refugees and dislocated persons can return home without fear of retributive violence.
- · Women and children protected from undue violence.
- Key historical or cultural sites and critical infrastructure protected from attack.

Territorial security.

- People and goods can move freely through the country and across borders without harm.
- Military forces protect the country from invasion, secure borders from infiltration by insurgent or terrorist elements and illicit trafficking of arms, narcotics, and humans.



ATP 3-07.5 August 2012 P. 2-1



JOL

Task Number: 71-BDE-8600

Task Title: Establish Civil Security for Brigades

Subtasks:

- (1) Enforce cessation of hostilities, peace agreements, and other arrangements.
- (2) Establish Public Order and Safety.
- (3) Determine disposition and composition of national armed and intelligence services.
- (4) Conduct disarmament, demobilization, and reintegration.
- (5) Conduct border control, boundary security, and freedom of movement.
- (6) Support identification.
- (7) Protect key personnel and facilities.



Combined Arms Training Strategy: Maneuver Brigade Combat Team





Subtask (1) Enforce cessation of hostilities, peace agreements, and other arrangements.

Initial response	Transformation	Fostering sustainability
Enforce ceasefires	 Establish and control buffers 	 Implement additional
 Supervise belligerent disengagement 	 Build host-nation capacity 	measures
 Identify and neutralize adversaries 	 Coordinate as needed 	
 Provide security for negotiations 		

ATP 3-07.5 August 2012 P. 2-3









Subtask (2) Establish Public Order and Safety.



Initial response	Transformation	Fostering sustainability
 Protect population Ensure access to endangered populations Perform civil police functions Safeguard witnesses and evidence 	 Build host-nation capacity to protect military infrastructure Build host-nation capacity to protect public institutions Build host-nation capacity for emergency response 	 Transfer responsibility to host nation Monitor and report Support host-nation modernization
 Control crowds and civil disturbances 		
 Secure criminal justice and security institutions 		













Subtask (3) Determine disposition and composition of national armed and intelligence services.

Initial response	Transformation	Fostering sustainability
 Implement a plan for disposition of security institutions Identify future roles, missions, and structures Vet officials 	Conduct security force assistance Build host-nation capacity to protect military structure Establish defense institutions	 Transition to an end state and advisory presence Transform the host-nation military Monitor and report















Subtask (4) Conduct disarmament, demobilization, and reintegration.

Initial response	Transformation	Fostering sustainability
 Negotiate terms Establish weapons control programs Reassure disarmed factions Establish a monitoring program Establish demobilization camps Ensure needs are met 	 Disarm combatants Reduce unauthorized weapons Ensure safety of personnel and families Reintegrate combatants and dislocated civilians 	 Manage disarmament, demobilization, and reintegration effectively Transition responsibility to host nation Secure, store, and dispose of weapons Develop host-nation arms control













Subtask (5) Conduct border control, boundary security, and freedom of movement.

Initial response	Transformation	Fostering sustainability
 Establish border control and boundary security 	 Train and equip border control and boundary security forces 	 Transition border security to host-nation control
 Establish rules of movement 		
 Dismantle roadblocks and establish checkpoints 		
Ensure freedom of movement		













Subtask (6) Support identification.

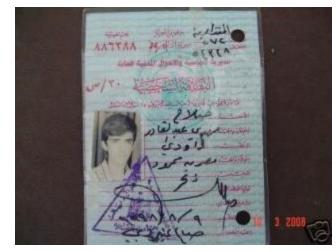


Initial response	Transformation	Fostering sustainability
 Secure documents Establish identification program Enforce identification program 	Develop mechanisms for dispute resolution	Expand identification programs with government functions

ATP 3-07.5 August 2012 P. 2-19













Subtask (7) Protect key personnel and facilities.

Initial response	Transformation	Fostering sustainability
 Protect stabilization and reconstruction personnel and resources 	Build host-nation capacity to protect	Transition responsibility to host
 Provide emergency logistic support 	 Advise and assist host- 	nation
Protect cultural sites	nation security forces in	
 Protect and secure critical infrastructure and civil records 	protection	
 Protect and secure important institutions 		
 Protect military facilities and means of communications 		
 Identify, secure, protect, and dispose of munitions 		



ATP 3-07.5 August 2012 P. 2-22









Questions/Discussion





















Mission Analysis:

CATS Task: STABILITY OPERATIONS - BRIGADE (BDE-FC-SO) Focus:

Establish Civil Security (Brigade) (71-FC-6509)

PKSOI

Overall classification of this brief:

UNCLASSIFIED

